SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). Ш

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person						2. Issuer Name and Ticker or Trading Symbol Joby Aviation, Inc. [JOBY]									5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
<u>Allison Eric</u>						[]								_	Director			10% Ov	vner	
(Last) (First) (Middle)					3. Date of Earliest Transaction (Month/Day/Year) 12/23/2022								x	Officer (g below)	ve title		Other (s below)	pecify		
																Head of	f Prod	luct		
C/O JOBY AVIATION, INC.																field 0		auer		
2155 DELAWARE AVENUE, SUITE #225						4. If Amendment, Date of Original Filed (Month/Day/Year)									6. Individual or Joint/Group Filing (Check Applicable Line)					
															X Form filed by One Reporting Person					
(Street)															Form filed by More than One Reporting Person					
SANTA CRUZ CA 95060																		•	•	
(City)	(State)) (Z	ip)																	
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																				
Date				ansaction th/Day/Year)		2A. Deemed Execution Date, if any (Month/Day/Yea		3. Transac Code (li 8)			Securities Acquired (A) or sposed Of (D) (Instr. 3, 4 a					Form	direct (I)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
									Code	v	Amount		(A) or (D)	Price	(Instr. 3 and				(mstr. 4)	
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																				
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Dat if any (Month/Day/Yo	te, Tr Co	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)			7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)			8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s)		10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				C	ode	v	(A)	(D)	Date Exercisa		Expiration Date	Title		Amount or Number of Shares		Iransacti (Instr. 4)	on(s)			
Restricted Stock Units (RSUs)	\$0.0	12/23/2022			A		6,510		(1)		(1)	Restri Stock	Units	6,510	\$0	6,510)	D		

Explanation of Responses:

1. Represents an award of restricted stock units ("RSUs") that vest on January 1, 2023, subject to the Reporting Person's continued service through the applicable vesting date. Each RSU represents the contingent right to receive one share of Common Stock upon vesting.

Remarks:

/s/ Kate DeHoff, Attorney-in-Fact 12/28/2022 for Eric Allison

** Signature of Reporting Person

(RSUs)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

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Date