SEC Form 4

# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* DeHoff Kate					2. Issuer Name <b>and</b> Ticker or Trading Symbol Joby Aviation, Inc. [ JOBY ]								5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner					
(Last)	(First)	, , ,			3. Date of Earliest Transaction (Month/Day/Year) 12/23/2022							x	Director Officer (g below)			Other (specify below)		
C/O JOBY AVIATION, INC.													See Remarks					
2155 DELAWARE AVENUE, SUITE #225					4. If Amendment, Date of Original Filed (Month/Day/Year)							6. Indiv	6. Individual or Joint/Group Filing (Check Applicable Line)					
(Street) SANTA CRUZ CA 9			95060									X		d by One F d by More	•	ig Person ne Reportin	g Person	
(City)	(State	) (Z	Zip)															
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																		
Date					saction /Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Transaction Code (Instr.		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and				Securities Beneficiall Following	5. Amount of Securities Beneficially Owned Following Reported Fransaction(s)		ership Direct (D) rect (I) 4)	7. Nature of Indirect Beneficial Ownership	
							Code	v	Amount	:	(A) or (D)	Price	(Instr. 3 and 4)				(Instr. 4)	
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned         (e.g., puts, calls, warrants, options, convertible securities)																	
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	te Execution Date,		ransaction ode (Instr.	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	6. Date E Expiratio (Month/D	n Date		Secur			8. Price of Derivative Security (Instr. 5)	9. Number derivative Securities Beneficia Owned Following Reported Transacti	e s Illy g	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	

#### Explanation of Responses:

\$<mark>0.0</mark>

1. Represents an award of restricted stock units ("RSUs") that vest on January 1, 2023, subject to the Reporting Person's continued service through the applicable vesting date. Each RSU represents the contingent right to receive one share of Common Stock upon vesting.

Date

Exercisable

(1)

(D)

6,510

Expiration

(1)

Title

Common

Stock

Date

#### Remarks:

Restricted Stock Units (RSUs)

General Counsel and Corporate Secretary

### /s/ Kate DeHoff

\*\* Signature of Reporting Person

Amount

Number

of Shares

6,510

\$<mark>0</mark>

12/28/2022

6,510

D

Date

(Instr. 4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $^{*}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

12/23/2022

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Code V (A)

Α

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

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