SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

	Estimated average burden hours per response:	0.5	
es Exchange Act of 1934 npany Act of 1940			

OMB APPROVAL

(Last)	(First)	(Middle)			on (Month/Day	Year)	x	Officer (give title below)	Other (below)	specify		
C/O JOBY AVIA	(First) (Middle) Y AVIATION, INC. 101/09/2023 LAWARE AVENUE, SUITE #225 4. If Amendment, Date (State) (Zip) Table I - Non-Derivative Securities Security (Instr. 3) 2. Transaction Date (Month/Day/Year)							See R	lemarks			
2155 DELAWAR	DeHoff Kate (First) (Middle) .ast) (First) (Middle) C/O JOBY AVIATION, INC. 155 DELAWARE AVENUE, SUITE #225 treet) ANTA CRUZ CA ANTA CRUZ CA 95060 City) (State) (Zip)			ndment, Date of Or	iginal Filed (Mo	onth/Day/Year)	6. Indiv	6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person				
(Street)								Form filed by More		ng Person		
SANTA CRUZ	CA	95060										
(City)	(State)	(Zip)										
		Table I - No	on-Derivative S	ecurities Acq	uired, Disp	osed of, or Benefi	cially Ow	ned				
1. Title of Security (Instr. 3)		Date		3. Transaction Code (Instr. 8)	4. Securities Acquired (Disposed Of (D) (Instr. 3		5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transac Code (Ir 8)		4. Securities A Disposed Of (D			5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	(Instr. 3 and 4)		(instr. 4)
Common Stock	01/09/2023		М		26,561	Α	\$ <mark>0</mark>	146,802	D	
Common Stock	01/09/2023		М		22,478	Α	\$ <mark>0</mark>	169,280	D	
Common Stock	01/09/2023		М		16,177	Α	\$ <mark>0</mark>	185,457	D	
Common Stock	01/09/2023		М		6,510	Α	\$ <mark>0</mark>	191,967	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transac Code (In 8)		Dispos	tive ties red (A) or sed of str. 3, 4	ve Expiration Date es (Month/Day/Year) d (A) or d of		piration Date Securities Underlying onth/Day/Year) Derivative Security (Instr.		8. Price of Derivative Security (Instr. 5)	derivative Securities Beneficially Owned Following Reported	Ownership Form:	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)		
Restricted Stock Units (RSUs)	\$0.0	01/09/2023		М			26,561	(1)	(1)	Common Stock	26,561	\$ <mark>0</mark>	159,362	D	
Restricted Stock Units (RSUs)	\$0.0	01/09/2023		М			22,478	(2)	(2)	Common Stock	22,478	\$ <mark>0</mark>	0	D	
Restricted Stock Units (RSUs)	\$0.0	01/09/2023		М			16,177	(2)	(2)	Common Stock	16,177	\$ <mark>0</mark>	0	D	
Restricted Stock Units (RSUs)	\$0.0	01/09/2023		М			6,510	(2)	(2)	Common Stock	6,510	\$ 0	0	D	

Explanation of Responses:

1. Represents an award of restricted stock units ("RSUs") that vests with respect to 10% of the total number of RSUs on each of the first four quarterly anniversaries of January 1, 2022 and as to 5% of the total number of RSUs on each quarterly anniversary thereafter, subject to the Reporting Person's continued service through the applicable vesting date. Each RSU represents the contingent right to receive one share of Common Stock upon vesting. Although the award vested on January 1, 2023, the shares were not released to the Reporting Person until January 9, 2023.

2. Represents an award of restricted stock units ("RSUs") that vest on January 1, 2023, subject to the Reporting Person's continued service through the applicable vesting date. Each RSU represents the contingent right to receive one share of Common Stock upon vesting. Although the award vested on January 1, 2023, the shares were not released to the Reporting Person until January 9, 2023.

Remarks:

General Counsel and Corporate Secretary

/s/ Kate DeHoff

** Signature of Reporting Person

01/11/2023

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.