SEC Form 4

## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address<br>Bowles Grego                       | 1 0     |              |                | Name <b>and</b> Ticker of <b>viation</b> , Inc.             | 0,                                      | bol   | 5. Relationship of Reporting Person(s) to Issuer<br>(Check all applicable)<br>Director 10% Owner    |  |   |   |  |
|---|---------|--------------|----------------|---|---|---|---|--|---|---|--|
| (Last) (First) (Middle)<br>C/O JOBY AVIATION, INC.        |         |              |                | f Earliest Transaction<br>023                               | on (Month/Day/                          | Year)   | x   | Officer (give title below)   | Other (specify<br>below)<br>Remarks                               |   |  |
| 2155 DELAWARE AVENUE, SUITE #225                          |         |              |                | ndment, Date of Or  | iginal Filed (Mo                        | nth/Day/Year)   | 6. Individual or Joint/Group Filing (Check Applicable Line)<br>X Form filed by One Reporting Person |  |   |   |  |
| (Street)<br>SANTA CRUZ                                    | СА      | 95060        |                |   |   |   |   | Form filed by More   | than One Reporti  | ng Person   |  |
| (City)  | (State) | (Zip)        |                |   |   |   |   |  |   |   |  |
|   |         | Table I - No | n-Derivative S | ecurities Acq   | uired, Disp                             | osed of, or Beneficia   | lly Ow  | ned  |   |   |  |
| 1. Title of Security (Instr. 3) 2. Tran<br>Date<br>(Month |         |              |                | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 3.<br>Transaction<br>Code (Instr.<br>8) | 4. Securities Acquired (A) of<br>Disposed Of (D) (Instr. 3, 4 a |   | 5. Amount of<br>Securities<br>Beneficially Owned<br>Following Reported | 6. Ownership<br>Form: Direct (D)<br>or Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership |  |

|              |            | (Wonth/Day/Year) | 1/Day/Year) 8) |   |        |               |                   |                                    | (Instr. 4) | Ownership  |
|--------------|------------|------------------|----------------|---|--------|---------------|-------------------|------------------------------------|------------|------------|
|              |            |                  | Code           | v | Amount | (A) or<br>(D) | Price             | Transaction(s)<br>(Instr. 3 and 4) |            | (Instr. 4) |
| Common Stock | 01/09/2023 |                  | М              |   | 17,982 | A             | \$ <mark>0</mark> | 61,453                             | D          |            |
| Common Stock | 01/09/2023 |                  | М              |   | 12,941 | A             | \$0               | 74,394                             | D          |            |
| Common Stock | 01/09/2023 |                  | М              |   | 5,208  | A             | \$0               | 79,602                             | D          |            |

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

|   |   |  | (0.9  | ., puto                                 | , <b>o</b> an | 0, <b>m</b> a  | manito, | optiono, e   |                    | le occurrac  | ,                                   |   |  |  |                                       |
|---|---|--|---|---|---------------|--|---------|--|--------------------|--|-------------------------------------|---|--|--|---------------------------------------|
| 1. Title of<br>Derivative<br>Security (Instr.<br>3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 4.<br>Transaction<br>Code (Instr.<br>8) |               | 5. Number of<br>Derivative<br>Securities<br>Acquired (A) or<br>Disposed of<br>(D) (Instr. 3, 4<br>and 5) |         | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                    | 7. Title and Amount of<br>Securities Underlying<br>Derivative Security (Instr.<br>3 and 4) |                                     | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | Beneficial<br>Ownership<br>(Instr. 4) |
|   |   |  |   | Code                                    | v             | (A)  | (D)     | Date<br>Exercisable  | Expiration<br>Date | Title  | Amount<br>or<br>Number<br>of Shares |   | Transaction(s)<br>(Instr. 4)   |  |                                       |
| Restricted Stock<br>Units (RSUs)                    | \$0.0   | 01/09/2023                                 |   | М                                       |               |  | 17,982  | (1)  | (1)                | Common<br>Stock  | 17,982                              | \$0   | 0  | D  |                                       |
| Restricted Stock<br>Units (RSUs)                    | \$0.0   | 01/09/2023                                 |   | М                                       |               |  | 12,941  | (1)  | (1)                | Common<br>Stock  | 12,941                              | \$0   | 0  | D  |                                       |
| Restricted Stock<br>Units (RSUs)                    | \$0.0   | 01/09/2023                                 |   | М                                       |               |  | 5,208   | (1)  | (1)                | Common<br>Stock  | 5,208                               | \$0   | 0  | D  |                                       |

## Explanation of Responses:

1. Represents an award of restricted stock units ("RSUs") that vest on January 1, 2023, subject to the Reporting Person's continued service through the applicable vesting date. Each RSU represents the contingent right to receive one share of Common Stock upon vesting. Although the award vested on January 1, 2023, the shares were not released to the Reporting Person until January 9, 2023.

Remarks:

Head of Government & Regulatory Affairs

| /s/ Kate Dehoff, Attorney-in-Fact<br>for Gregory Bowles | <u>01/11/2023</u> |
|---|-------------------|
| ** Signature of Reporting Person                        | Date              |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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